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# Virginia Lottery

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600 East Main Street, Richmond, VA 23219

## SPORTS BETTING SUPPLIER RENEWAL APPLICATION

Applicant: \_\_\_\_\_

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## SECTION E - APPLICANT INFORMATION

### E.1 NAME OF APPLICANT

\* As it is written on the Articles of Incorporation, By-Laws, Charter, partnership agreement or other official documents filed with a State or Federal Government.

Doing Business As (D/B/A) or Trade Name(s):

### E.2 SUPPLIER BUSINESS

Describe the type of product(s) provided:

### E.3 LICENSEE ASSOCIATION

Name the Sports Betting Permit Holders in Virginia with whom you have an agreement:

### E.4 APPLICANT'S FORM OF ORGANIZATION

Check one:

- Sole Proprietorship    
  Partnership    
  Limited Partnership    
  C-Corporation    
  Limited Liability Company  
 S-Corporation    
 Trust    
 Other (Describe)

### E.5 POINT-OF-CONTACT FOR APPLICANT \*

<b>Name</b>	<b>Title / Position within the company</b>	
<b>Email address</b>	<b>Telephone number</b>	<b>Fax number</b>

**E.6 APPLICANT'S PRINCIPAL ADDRESS**

**Address**  
**Address Line 2**

**City**

<b>Country</b>	<b>State</b>	<b>Zip Code</b>
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<b>Telephone Number</b>	<b>Mailing Address</b>	<b>Lie 1 – if different from above</b>	<b>Fax Number</b>
		1	

**Address Line 2**

<b>City</b>	<b>State</b>	<b>Zip Code</b>
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<b>Country</b>	<b>Telephone Number</b>	<b>Fax Number</b>
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**Web Site Address**

**E.7 INCORPORATION (If a Sole Proprietorship, provide an answer to the appropriate questions)****(a) APPLICANT'S INCORPORATION DOCUMENTS**

1) Business name as it appears on formation documents:

2) List any jurisdiction in which the Applicant is currently registered or authorized to do business in the last three years:

**(b) VIRGINIA STATE CORPORATION COMMISSION COMPLIANCE**

1) Is the Applicant registered to do business in the Commonwealth of Virginia: Yes  No

2) If "Yes", please provide registration number: .

**IMPORTANT:**

Upload a copy of the Applicant's 'Good Standing' status from the Virginia State Corporation Commission.

**(c) OTHER NAMES IN WHICH APPLICANT HAS DONE BUSINESS**

Use **Exhibit 1(a)** to list all other names in which the Applicant has done business and give the approximate time periods during which these names were being used. (Note: If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

**(d) CURRENT ADDRESSES OF APPLICANT**

Use **Exhibit 1(b)** to provide all the current addresses of the Applicant and all current addresses from which the Applicant is doing business. (Note: If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

**(e) PREVIOUS ADDRESSES OF APPLICANT**

Use **Exhibit 1(c)** to provide all addresses, other than those listed in Exhibit 1(b), which Applicant has used or from which it was conducting business during the last three (3) year period, and list the approximate dates during which said addresses were held. (Note: If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

**(f) ALL BUSINESSES OPERATED BY THE APPLICANT**

Use **Exhibit 1(d)** to provide a description of all businesses presently operated or intended to be operated by the Applicant and all former businesses operated by the Applicant in the past three (3) years.

**(g) ALL HOLDING, INTERMEDIARIES, SUBSIDIARIES, AFFILIATES OR OTHER BUSINESS TYPE ENTITIES**

Use **Exhibit 1(e)** to list the names, all addresses used in the last three (3) years, the form of organization and a description of the business performed by all holding, intermediary, subsidiary, affiliate and any other similar business entity of the Applicant. Do not provide those already listed in **Exhibit 1(d)**.

**E.8 DIRECTORS, PARTNERS, OFFICERS AND TRUSTEES**

Use **Exhibit 2** to provide information for each Director, Partner, Officer and Trustee of the applicant. Include any Grantors or Beneficiaries of a Trust who is required to be licensed as a Principal as defined in this application.

**IMPORTANT:**

As part of this application, each Director, Partner, Officer and Trustee of the Applicant applying to become a Gaming Supplier, **must** complete and submit a Principal Employee Application (Form 1002). See C.3

**E.9 FORMER DIRECTORS, PARTNERS, OFFICERS AND TRUSTEES**

Use **Exhibit 3** to provide the following information for all Directors, Partners, Officers and Trustees who are no longer actively involved with the Applicant but held the position in the last three (3) years.

**E.10 COMPENSATION OF DIRECTORS, PARTNERS, OFFICERS & TRUSTEES**

- a. Use **Exhibit 2** to provide information regarding the amount of total compensation earned/received during the last calendar year and the amount to be earned during the present calendar year by each Director, Partner, Officer and Trustee of the Applicant. Compensation includes, but is not limited to, salary, wages, commissions, fees, stock options, bonuses.
- b. Use **Exhibit 4** to provide the information for all employees who earn over \$250,000 in annual compensation from the applicant. Do not include those listed in Exhibit 2. Compensation includes, but is not limited to, salary, wages, commissions, fees, stock options, bonuses or other.

**E.11 BONUS, PROFIT SHARING, PENSION, RETIREMENT, DEFERRED COMPENSATION & SIMILAR PLANS**

Use **Exhibit 5** to provide a description of all bonus, profit sharing, pension, retirement, deferred compensation and similar plans in existence or to be created. If the space provided in the Exhibit is insufficient to describe the plan, the Applicant may attach additional pages as necessary to describe each and every plan.

**E.12 STOCK DESCRIPTION**

Use **Exhibit 6** to provide the nature, type and number of authorized and issued shares. Provide the terms, conditions, rights and privileges of all classes of voting, non-voting and other stocks issued or to be issued by the Applicant or a holding, intermediary, subsidiary, affiliate and any other type similar business entity of Applicants. This should include the number of shares of each class of stock authorized or to be authorized and the number of shares of each class of stock outstanding as of this date. If the right of holders of any class of stock may be modified by less than a majority or more of outstanding shares of the class affected, voting as a class, please state which class and explain briefly.



### **E.13 VOTING SHAREHOLDERS/ MEMBERS AND NON-VOTING SHAREHOLDERS/ MEMBERS (CORPORATION - C or S; LLS)**

Use **Exhibit 7a** – Voting Shareholders/ Member and **Exhibit 7b** – Non-voting Shareholder/ Members to provide information on each person or entity holding of record or having a beneficial interest of 5% or more in any voting or non-voting stock issued by the Applicant as of the date of filing the Application.

**IMPORTANT:**

- a. As part of this application each qualifier identified by the Virginia Lottery must complete a Principal License Renewal or Principal application).
- b. This requirement includes non-public holding entities.

### **E.14 INTEREST OF CURRENT/ FORMER PARTNERS (PARTNERSHIPS, LLPS AND LIMITED PARTNERSHIPS)**

Use **Exhibit 8a** to list the Applicant's Current Partners and **Exhibit 8b** for Former Partners (include all), the type of partnership, the percentage of ownership of each partner, date partner entered partnership and the description of participation in the operation by each partner. Only include information concerning former partners who left the partnership in the last three (3) years.

List and identify all current Partners first and list and identify all former Partners second.

**IMPORTANT:**

As part of this application, each current Partner of the Applicant applying to become a Gaming Supplier **must** complete and submit a Principal Employee Form (Form 1002). See C.3

### **E.15 HOLDER(S) AND EXTENT OF LONG TERM DEBT**

Use **Exhibit 9** to list the holder(s) and to describe the nature, type, terms, covenants, conditions and priorities of all outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness issued or executed (including loans made by shareholders), or to be issued or executed, by the Applicant or for Applicant and the holding, intermediary, subsidiary, affiliate and any other type similar business entity of Applicants, which mature more than one (1) year from the date of issuance or which, by their terms, are renewable for a period of more than one (1) year from the date of issuance.

**IMPORTANT:**

- a. As part of this application, each individual applicant, as required by the Department, of the Applicant applying to become a Gaming Supplier **must** complete and submit a Principal Employee Form or Principal Entity Disclosure form

### **E.16 HOLDER(S) AND TYPE OF OTHER INDEBTEDNESS AND SECURITY DEVICES**

Use **Exhibit 10** to identify the holder(s) and describe the nature, type, terms, conditions and covenants of all outstanding loans, mortgages, trust deeds, pledges, lines of credit, or other evidence of indebtedness or security devices utilized by the Applicant other than those described in response to Exhibit 9 for Applicant and the holding, intermediary, subsidiary, affiliate and any other similar business entity of Applicants.

**IMPORTANT:**

- a. Aa. As part of this application, each individual applicant as required by the Department applying to become a Gaming Supplier must complete and submit a Principal Employee Form or Principal Entity Disclosure form.
- b. Attach Description and Documentation as part of **Exhibit 10**.

**E.17**

**SECURITY OPTIONS**

Use **Exhibit 11** to provide detailed description of any options existing or to be created with respect to securities issued by the Applicant which description shall include, but not be limited to the title and amount of securities subject to option, the year or years during which the options were or will be granted, the conditions under which the options were or will be granted, the consideration for granting the option and the year or years during which, and the terms under which, optioned became or will become, entitled to exercise the options, and when such options expire.

**IMPORTANT:**

In addition to answering Exhibit 11, upload copies of any outstanding option plans or proxy statement that correspond to the requested information on the Applicant Case Checklist Item page under Appendix 3.

NOTE: For the purpose of this application, option shall mean right, warrant or option to subscribe to or purchase any securities issued by the corporation.

**E.18**

**BENEFICIAL OWNERS OF OPTIONS**

Use **Exhibit 12** to provide information regarding all persons holding the options described in **E.15**.

**E.19**

**PRINCIPALS NOT YET DISCLOSED**

Use **Exhibit 13** to provide all Principals not yet disclosed in this Application. Such Principals shall include both individuals and entities that have a five (5%) percent direct or indirect ownership interest in the Applicant or licensee.

**E.20**

**FINANCIAL INSTITUTIONS**

Use **Exhibit 14** to provide information with respect to each bank, savings and loan association or other financial institution, whether domestic or foreign, in which the applicant has or has had an account over the last three (3) year period regardless of whether such account was held in the name of the Applicant, a nominee of the Applicant or was otherwise under the direct or indirect control of the Applicant.

**E.21**

**CONTRACTS**

Use **Exhibit 15** to provide information with respect to all contracts or Agreements, written or oral, that the Applicant has entered into. This includes all contracts or agreements of \$100,000 or more in value or from whom the corporation has received \$100,000 or more in goods or services in the past six months. Contracts or Agreements already furnished as part of this application do not need to be provided as part of this Exhibit.

## **E.22 APPLICANT STOCK HOLDINGS**

Use **Exhibit 16** to provide information about each company in which the Applicant holds stock.

## **E.23 INSIDER TRANSACTIONS**

Use **Exhibit 17** to provide information for each change that occurred within the last three (3) years, prior to this application, in the beneficial ownership of the equity securities of the Applicant on the part of any person who is indirectly or directly a beneficial owner of more than five percent (5%) of any class of an equity security of the Applicant or who is or was within that period a director or officer of the corporation. Include changes resulting from (a) gift, (b) purchase, (c) sale, (d) exercise of an option to purchase (e) exercise of an option to sell, (f) grant or receipt of a put or (g) grant or receipt of a call.

## **E.24 CRIMINAL HISTORY (Directors, Partners, Officers, Trustees and Owners)**

### **IMPORTANT:**

The Department will make inquiries to establish whether the individuals and subsidiaries listed in this application have had any involvement with law enforcement agencies. Failure to disclose any such involvement will be taken into account when assessing the Applicant's character, honesty and integrity.

Do you understand? Yes

This section asks about any arrests, offenses or charges against the Applicant or any of its subsidiaries or any of its directors, partners, officers, trustees or owners.

Prior to answering this question, carefully review the DEFINITIONS and INSTRUCTIONS.

**DEFINITIONS** – For purposes of this section ONLY:

- A. **ARREST:** includes any time that you were stopped by any law enforcement officer and advised that you were under arrest, detained, held for questioning or were requested by a law enforcement officer to come to a law enforcement office or facility and answer questions. "Arrest" also includes any circumstances in which you were taken into custody by any law enforcement officer, fingerprinted, detained in any jail or detention center, or otherwise been the subject of a court order to appear in a judicial proceeding in which you were accused of a crime or offense as defined in subsection C.
- B. **CHARGE:** includes any indictment, complaint, information, summons, or other notice of the alleged commission of any "offense."
- C. **OFFENSE:** includes all felonies, misdemeanors, gambling offenses and summary offenses that may have required you to appear before any municipal, state, or federal grand jury, court, or any other judicial tribunal except juvenile court. "Offense" also includes all driving-related charges or offenses which carry any period of incarceration.

### **INSTRUCTIONS**

1. **Answer "Yes"** and provide all information to the best of your ability **EVEN IF:**

- A. You did not commit the offense charged;
- B. The charges were dismissed or downgraded to a lesser charge;
- C. You completed a pretrial intervention or other rehabilitation or diversionary program;
- D. You were not convicted;
- E. You did not serve any time in a correctional facility;
- F. The charges or offenses happened a long time ago; or
- G. You were not arrested for the charge.

2. Answer "No" if:

- A. You have never been charged with or arrested for any crime or offense;
- B. You were arrested or charged when you were under eighteen (18) years of age and your arrest or charge, including any sentence that a court imposed, was adjudicated entirely in juvenile court;
- C. The records of the charge or arrest have been expunged pursuant to an order of court or otherwise sealed by a court of competent jurisdiction.

Have you read and understood the definitions and instructions? Yes

\* **Question:**

Has the Applicant;

Have any of the Applicant's subsidiaries;

Have any of the Principal Employees, (Directors, Partners, Officers, Trustees, Owners) ever been indicted, arrested, charged with, or convicted of, a criminal offense or been a party to or named as an unindicted conspirator in any criminal proceeding in this state or any other jurisdiction?

If "Yes", use **Exhibit 18** to provide information concerning criminal history.

YES NO

**E.25 INVESTIGATIONS, TESTIMONY or POLYGRAPHS**

- a. Has the Applicant, any of its subsidiaries, principals, directors, partners, trustees or officers ever been called to testify before, been the subject of an investigation conducted by, or requested to take a polygraph exam by any governmental agency, court, committee, grand jury or investigatory body (municipal, state, county, provincial, federal national, etc.) other than in response to minor traffic related offenses?

YES NO

- b. If "Yes", use **Exhibit 19** to describe the investigations, testimony or polygraphs.

**E.26 EXISTING AND PAST LITIGATION**

Use **Exhibit 20** to describe all existing civil litigation or any settled or closed legal action over the past three (3) years to which the Applicant, its parent, affiliate, holding or any subsidiary is or was a party whether in this state or in another jurisdiction. This description must include the title and docket number of the litigation, the name and location of the court before which it is pending, the identity of all parties to the litigation, the general nature of all claims being made and the nature of any judgments.

List most recent litigation first.

**E.27 ANTITRUST, TRADE REGULATION & SECURITIES JUDGEMENT; STATUTORY AND REGULATORY VIOLATIONS**

- a. Has the corporation ever had a judgment, order, consent decree or consent order pertaining to a violation or alleged violation of the federal antitrust, trade regulation or securities laws, or similar laws of any state, province or country entered against it?
- YES NO
- b. In the past three years, has the corporation had a judgment, order, consent decree or consent order pertaining to any state or federal statute, regulation or code that resulted in a fine or penalty of \$10,000 or more entered against it?
- YES NO
- c. If "Yes", to either question, use Exhibit 21 to provide the following information for each judgment, order, consent decree or consent order.

**E.28 BANKRUPTCY OR INSOLVENCY PROCEEDINGS**

- a. Has the Applicant, or any affiliate, intermediary, subsidiary or holding company had any petition under any provision of the Federal Bankruptcy Code or under any state insolvency law filed by or against it in the last three year period?
- YES NO
- b. Has the Applicant, or any affiliate, intermediary, subsidiary or holding company sought relief under any provision of the Federal Bankruptcy Code or under any state insolvency law in the last three year period?
- YES NO
- c. Has any receiver, fiscal agent, trustee, reorganization trustee, or similar officer been appointed in the last three year period by a court for the business or property of the Applicant, or any affiliate, intermediary, subsidiary or holding companies?
- YES NO
- d. If "Yes", to question 'a', 'b' or 'c', use **Exhibit 22** to provide detailed information for each bankruptcy or insolvency proceeding information for each judgment, order, consent decree or consent order.

**E.29 LICENSES**

- a. Has the Applicant, or any affiliate, intermediary, subsidiary or holding company ever applied in any jurisdiction, including but not limited to, any federal, state, local or Native American governments for a license, permit or other authorization to participate in lawful gambling operations (including slot machines, video lottery terminals, table gaming, horse racing, dog racing, pari-mutuel operation etc.) in the last three years?
- YES NO
- b. Has the Applicant, or any affiliate, intermediary, subsidiary or holding company ever had any license application, license, permit or other authorization issued by a government agency in this state or any other jurisdiction denied, suspended or revoked in last three year period?
- YES NO
- c. If "Yes", use **Exhibit 23** to provide the following information for each license application, license, permit or other authorization applied for and license or certificate denied, suspended or revoked.

**E.30 CONTRIBUTIONS AND DISBURSEMENTS**

a. During the last three year period, has the Applicant, or any affiliate, intermediary, subsidiary or holding company, director, officer, or employee or any third party acting for or on behalf of the corporation made any bribes or kickbacks or made any payments alleged to have been bribes or kickbacks to any employee, company or organization to obtain favorable treatment?

YES  NO

b. Has the Applicant, or any affiliate, intermediary, subsidiary or holding company, director, officer or employee or any third party acting for or on behalf of the corporation made any bribes or kickbacks or made any payments alleged to have been bribes or kickbacks to any government official, domestic or foreign to obtain favorable treatment in the last three year period?

YES  NO

c. In the last three (3) years, has Applicant, or any affiliate, intermediary, subsidiary or holding company maintained any bank account, domestic or foreign, not reflected on the Applicant's books or records?

YES  NO

d. During the last three year period, has the Applicant, or any affiliate, intermediary, subsidiary or holding company, maintained any numbered accounts or any account in the name of a nominee for the Applicant?

YES  NO

e. If "Yes", to question 'a', 'b', 'c' or 'd', use **Exhibit 24** to provide information for any present or former directors, officers, employees or third parties who would have knowledge or information concerning the questions above answered affirmatively.

**E.31 APPLICANT'S FINANCIAL STATEMENTS**

Upload and submit the **two** most recent year's financial statements for the Applicant, specifically 'Balance Sheets' and 'Profit and Loss Statements', onto the Applicant Case Checklist Item page.

**Exhibit 29a** Copies of applicants' financial statements for most recent year's Balance Sheet.

**Exhibit 29b** Copies of applicants' financial statements for second most recent year's Balance Sheet.

**Exhibit 29c** Copies of applicants' financial statements for most recent year's Profit and Loss Statement.

**Exhibit 29d** Copies of applicants' financial statements for second most recent year's Profit and Loss Statement.

During the investigation to determine the Applicant's financial stability, the Department may require that additional financial documentation be submitted.

## SECTION F - EXHIBITS

<b>Supplier Renewal Application and Disclosure Information Form</b>
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Use this checklist to indicate with an "X" that the exhibit is attached with this application. All attachments are **mandatory**. If a question, exhibit or addendum is not applicable, indicate "**Not Applicable**" and **state why it is not applicable in the exhibit**. If any item is missing or not filed according to these directions, the application will be considered incomplete and will not be processed.

EXHIBIT NUMBER	EXHIBIT DESCRIPTION	PLACE "X" WHEN COMPLETED
1(a)	Other names and addresses of the applicant	X
1(b)	All Current Addresses of Applicant and where doing business	X
1(c)	Other names and addresses of the corporation (Past 10 years)	X
1(d)	All businesses operated by the Applicant	X
1(e)	Holding, intermediaries, subsidiaries, affiliates or other business type entities	X
2	Current Directors, Partners, Officers, Trustees and Compensation	X
3	Former Directors, Partners, Officers, Trustees	X
4	Compensation over \$100,000	X
5	Description of all bonus, profit sharing, pension, retirement, deferred compensation and similar plans	X
6	Stock Description	X
7(a)	Voting shareholders/Members	X
7(b)	Non-voting shareholders	X
8(a)	Interest of Current Partners	X
8(b)	Interest of Former Partners	X
9	Extent and Holders of Long Term Debt	X
10	Holder and Type of Other indebtedness and security devices	X
11	Securities options – description	X
12	Beneficial Owners of securities options	X
13	Principals not yet disclosed	X
14	Financial institutions	X
15	Contracts	X
16	Applicant's Stock Holdings	X
17	Insider Transactions	X
18	Criminal History	X
19	Testimony, Investigations or Polygraphs	X
20	Existing and Past Litigation	X
21	Violations	X
22	Bankruptcy or insolvency proceedings	X

23	Licenses	X
24	Contributions and Disbursements	X
25	Required Attachments - Explanations	
26	Authorization for Release of Information	
27	Affidavit of Representative of Manufacturer	
28	Acknowledgement and Disclosure	

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## SECTION G - APPENDICES

<b>Supplier Renewal Application and Disclosure Information Form</b>
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Attachments are appendices you are to provide or create. Attachments do not contain corresponding questions, exhibits or charts. Each document provided as an attachment must be presented in the same order as listed below provided to the Document.

If an attachment is not applicable to the applicant, indicate "N/A", then use **Exhibit 25** to explain why it is not applicable. All information shall be provided *in addition* to the exhibits that are to be submitted.

APPENDIX DESCRIPTION	X IF ATTACHED (ALL FORMS ARE MANDATORY)
(Appendix 1) Description of all bonus, profit sharing, pension, retirement, deferred compensation and similar plans.	X
(Appendix 2) Description of long term debt for Applicant and for the holding, intermediary, subsidiary, affiliate and any other type of business entity of Applicants.	X
(Appendix 3) Description of other indebtedness and security devices for Applicant and for the holding, intermediary, subsidiary, affiliate and any other type of business entity of Applicants.	X
(Appendix 4) Description of Security Options for Applicant and for the holding, intermediary, subsidiary, affiliate and any other type of business entity of Applicants.	X
(Appendix 5) Description of Existing Litigation. Description of any settled or closed litigation against the Applicant for the past three (3) years. Also describe any existing or settled or closed litigation for the past three (3) years for any holding, intermediary, subsidiary or affiliate. A description of any judgments against Applicant, holding, intermediary, subsidiary or affiliate for the past three (3) years. Describe if any judgments were covered by insurance and if so the insurance company.	X
(Appendix 6) Audited financial statements for the last three years for Applicant and Applicant's holding, intermediary, subsidiary, affiliate and any other type of business entity, including the last fiscal year. If audited financial statement do not exist, then provide unaudited financial statements.	X
(Appendix 7) Annual reports for the last three years for Applicant and for the holding, intermediary, subsidiary, affiliate and any other type of business entity of Applicants.	X
(Appendix 8) Annual reports prepared on the SEC's form 10K for the last 3 years.	X
(Appendix 9) Copy of any interim report for Applicant and for the holding, intermediary, subsidiary, affiliate and any other type of business entity of Applicants, including an index of all compliance, due diligence and audit investigations conducted during the past three years.	X
(Appendix 10) Minutes of Board of Directors meetings for the past three calendar years.	X
(Appendix 11) Minutes of Compliance, Audit, Executive and Compensation and Stock Option Committee meetings for the past three calendar years.	X

(Appendix 12) A copy of all registration statements for the last three years filed in accordance with the Securities Act of 1933.	X
(Appendix 13) Copies of all other reports prepared in the last three years by independent auditors for the Applicant and for the holding, intermediary, subsidiary, affiliate and any other type of business entity of Applicants.	X
(Appendix 14) Certified copies of the Articles of Incorporation, Charter and By-laws, and all amendments and proposed amendments for Applicant and for the holding, intermediary, subsidiary, affiliate and any other type of business entity of Applicants.	X
(Appendix 15) Current ownership table of organization for the Applicant.	X
(Appendix 16) Current organizational chart for all holding, intermediaries, subsidiaries, affiliates or any other type of business entity of the Applicant.	X
(Appendix 17) Functional table of organization for the Applicant filing this form, job descriptions and names of employees earning in excess of \$250,000.	X
(Appendix 18) Copies of 1120 forms and 941 forms filed with the IRS in the last three years.	X
(Appendix 19) Copies of IRS 5500 form filed in the last 3 years.	X
(Appendix 20) Schedule of insurance policies currently in effect, including deductibles and policy limits, and any self-insurance retentions.	X
(Appendix 21) Provide the nature and results of any other material reorganization, readjustment or success of the applicant, holding, intermediary, subsidiary or affiliate. Also describe the acquisition or disposition of any material amount of assets otherwise than in the normal course of business and any material change in the way business was conducted by the applicant, holding, intermediary, subsidiary or affiliate because of such a proceeding.	X
(Appendix 22) If available, a copy of the business strategy/plan for the next three years as it relates to investment in Virginia.	X
(Appendix 23) For the Internal Audit Department, identify structure of the Department and provide index of reviews conducted and copies of all reports for the past three years. Provide further access as needed.	X
(Appendix 24) Provide tax compliance history, including details of any audits or investigations into the entity's tax affairs which have been conducted by the relevant authorities during the past 3 years. List the conclusion of the investigations and provide any related correspondence.	X
(Appendix 25) Provide information as to any material lease agreement entered into by any entity on the Ownership/Org Chart. Provide documentation to verify real estate ownership or leases, including related documents.	X